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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/750,636 | |
| | Filing Date | 12/19/2003 | |
| | First Named Inventor | Ray Herbert | |
| | Art Unit | 2877 | |
| | Examiner Name | Not yet assigned | |
| Total Number of Pages in This Submission | 12 | Attorney Docket Number | NAK-130B/US |

| ENCLOSURES (Check all that apply) | | |
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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | |
| Firm or Individual name | Robert Lodenkamper Reg. No. 55,399 | |
| Signature | <i>Robert Lodenkamper</i> | |
| Date | 5/6/04 | |

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IN THE US PATENT AND TRADEMARK OFFICE

In re Application of:

Ray Herbert et al.

Art Unit: 2877

Serial No: 10/750,636

Examiner: Not Assigned

Filed: 12/19/03

For: Apparatus and Method for Optical Characterization of a Sample Over a Broadband of Wavelengths While Minimizing Polarization Changes

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PRELIMINARY AMENDMENT UNDER 37 CFR 1.115

Commissioner for Patents
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Sir:

Please enter the following preliminary amendment in the application referenced above.

Respectfully submitted,

Robert Lodenkamper

Reg. No. 55,399

A handwritten signature in black ink, appearing to read 'R. Lodenkamper', followed by a long horizontal flourish.

Lumen Intellectual Property Services

2345 Yale Street, 2nd Floor

Palo Alto, CA 94306

(650) 424-0100